



11-37-02

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EXPRESS MAIL CERTIFICATE
Date 11/26/02 Label No. 208497175-us

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service and that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

B.W. LEE B.W. Lee
Name (Print) Signature

Customer No.:



PATENT TRADEMARK OFFICE

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#7/CFR

Docket No.: 6181 / 0K439

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Dae Gun Kim et al.

Serial No.: 10/089,641

Filed: May 3, 2002

Examiner: N/A

For: EXPRESSION VECTOR CODING P972-GENE FOR CANCER THERAPY AND ADENOVIRUS PRODUCING THE SAME

REQUEST FOR CORRECTED FILING RECEIPT AND NOTICE OF ACCEPTANCE

Hon. Commissioner of Patents
and Trademarks
Washington, D.C. 20231

Attn.: Application Processing Division
Customer Correction Branch

Sir:

- Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
- There is an error with respect to the following data, which is:

- ☒ incorrectly entered and/or
☐ omitted.

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JAN 02 2003

TECH CENTER 1600/1001

Error in	Correct data
<input type="checkbox"/> Applicant's name	
<input type="checkbox"/> Applicant's address	Dae Gun KIM
<input type="checkbox"/> Title	
<input type="checkbox"/> Filing Date	
<input type="checkbox"/> Application Number	
<input type="checkbox"/> Foreign/PCT Application Re:	
<input type="checkbox"/> Domestic Priority data as claimed by applicant	THIS APPLICATION IS A 371 OF PCT/KR01/01295 07/30/01

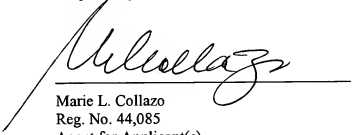
Remarks:

The filing date of International Applicant PCT/KR01/01295 is also incorrectly represented on the Notice of Acceptance. A copy of the front page of PCT Publication WO 02/10408A1 is enclosed.

The Patent Office is respectfully requested to issue a new and correct Filing Receipt and Notice of Acceptance.

Respectfully submitted,

Dated: November 26, 2002


 Marie L. Collazo
 Reg. No. 44,085
 Agent for Applicant(s)

Enclosures

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 New York, New York 10022
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UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FILE FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/089,641	05/03/2002	1646	1170	6181/OK439	4	10	3

CONFIRMATION NO. 3102

FILING RECEIPT



0C00000000845348

 S Peter Ludwig
 Darby & Darby
 Post Office Box 5257
 New York, NY 10150-5257

Date Mailed: 07/15/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Dae Gun KIM

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 Hyun-Soo Lee, Seoul, KOREA, REPUBLIC OF;

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TECH CENTER 1600/2900

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/KR01/01295 07/20/2001

Foreign Applications

REPUBLIC OF KOREA 2000/44142 07/31/2000

Projected Publication Date: 10/24/2002

Non-Publication Request: No

Early Publication Request: No

Title

 SEARCHED _____
 SERIALIZED _____
 INDEXED _____
 FILED _____
 JAN 02 2003
 TECH CENTER 1600/2900

Expression vector coding p972 gene for cancer therapy and adenovirus producing the same

Preliminary Class

514

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20531
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/089,641	Kim Dae Gun	6181/OK439

S Peter Ludwig
Darby & Darby
Post Office Box 5257
New York, NY 10150-5257

TO: DP

ATTY REVIEWED _____

DATE: _____

INTERNATIONAL APPLICATION NO.	
PCT/KR01/01295	
FILED DATE	PRIORITY DATE
07/20/2001	07/31/2000

CONFIRMATION NO. 3102
371 ACCEPTANCE LETTER



0C00000008445349

Date Mailed: 07/15/2002

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated Office (37 CFR 1.494), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

05/03/2002
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and
(c)(4) REQUIREMENTS

05/03/2002
DATE OF RECEIPT OF ALL 35 U.S.C.
REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** *The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363).* Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Assignee Statement
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Request for Immediate Examination

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

INDIA L EVANS

Telephone: (703) 305-2936

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)